# Energy-Aware Processor Merging Algorithms for Deadline Constrained Parallel Applications in Heterogeneous Cloud Computing

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Abstract—Energy efficiency has become a key issue for cloud computing platforms and data centers. Minimizing the total energy consumption of an application is one of the most important concerns of cloud providers, and satisfying the deadline constraint of an application is one of the most important quality of service requirements. Previous methods tried to turn off as many processors as possible by integrating tasks on fewer processors to minimize the energy consumption of a deadline constrained parallel application in a heterogeneous cloud computing system. However, our analysis revealed that turning off as many processors as possible does not necessarily lead to the minimization of total energy consumption. In this study, we propose an energy-aware processor merging (EPM) algorithm to select the most effective processor to turn off from the energy saving perspective, and a quick EPM (QEPM) algorithm to reduce the computation complexity of EPM. Experimental results on real and randomly generated parallel applications validate that the proposed EPM and QEPM algorithms can reduce more energy than existing methods at different scales, parallelism, and heterogeneity degrees.

Index Terms—Dynamic voltage and frequency scaling (DVFS), deadline constraint, energy-aware, heterogeneous cloud computing systems, processor merging

#### 1 Introduction

# 1.1 Background

HETEROGENEOUS cloud computing and data centers consisting of diverse sets of processors or virtual machines offer computing and data storage services and solutions at a large scale. However, these solutions entail high costs and environmental effects because of high energy consumptions at various levels of computational and data storage processes. For example, the Tianhe-2 system in the National Supercomputer Center in Guangzhou (China), the world's fastest supercomputing system in November 2014 and 2015, contains 16,000 computer nodes and consumes 17,808 KW of power [1]. Energy consumption is a major issue that affects the development and use of computing systems as well as the human environment. In heterogeneous cloud

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computing systems, a parallel application with precedenceconstrained tasks is represented by a directed acyclic graph (DAG), in which the nodes represent the tasks and the edges represent the communication messages between tasks [2], [3], [4], [5].

Resource providers and users are the two types of roles with conflicting requirements in cloud computing systems. For providers, minimizing the total energy consumption of an application is one of the most important concerns. For users, the deadline constraint (i.e., timing constraint, response-time constraint, real-time constraint) of an application is one of the most important quality of service (QoS) requirements [6]. The problem of minimizing the energy consumption of a deadline constrained application with precedence constrained tasks on heterogeneous cloud computing systems has been studied recently in a number of studies by using dynamic voltage and frequency scaling (DVFS) energy control technology to simultaneously scales down the processor's supply voltage and frequency while tasks are running [4], [5]. In [4], the problem is solved by reclaiming the slack time based on the latest finish time (LFT); however, this strategy merely minimizes the dynamic energy consumption and ignores the static energy consumption. A processor still consumes some amount of static energy even when it is idle. Furthermore, different from embedded systems where static energy consumption is only a small fraction of the total energy consumption, in large-scale cloud computing systems, each processor still needs to execute several system softwares and middlewares when the application is not executed on it. Turning off processors is an available way in some platforms [7]. In [5], the authors presented the DVFS-enabled energy-efficient workflow task scheduling (DEWTS) algorithm by processor

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merging to reduce static and dynamic energy consumption. The DEWTS algorithm is implemented by turning off processors with a small number of tasks (if two processors have the same task number, then the processor with low dynamic energy utilization defined in Definition 1 is turned off) to implement processor merging while satisfying the deadline. However, the DEWTS algorithm has the following limitations.

- (1) Turning off the processors with a small number of tasks or processors with low dynamic energy utilization is not always effective with respect to energy consumption comparing with turning off any other processor. Such a policy is not energy-aware; thus, the achieved energy reduction is limited.
- (2) Turning off as many processors as possible does not necessarily lead to the minimization of total energy consumption because the energy efficiency of each processor could be different. Therefore, energy consumption should be considered explicitly when turning off processors.

#### 1.2 Our Contributions

This study aims to implement energy-aware processor merging to minimize the total energy consumption of deadline constrained parallel applications in heterogeneous cloud computing systems. The main contributions of this study are as follows.

- (1) Considering the fact that each processor may have different energy efficiency, we propose an energyaware processor merging (EPM) algorithm to minimize both dynamic and static energy consumption while satisfying the deadline constraint of the application. The algorithm is energy-aware because it always chooses the most effective processor to turn off in terms of saving energy.
- (2) In order to overcome the high computation complexity of the EPM algorithm, we propose a quick EPM (QEPM) algorithm to deal with large-scale parallel applications. The algorithm can achieve a good balance between significant energy saving and reasonable computation time.
- (3) Experiments on randomly generated and real parallel applications including fast Fourier transform, Diamond graph, and Gaussian elimination are conducted extensively. Experimental results validate that the proposed EPM and QEPM algorithms can reduce more energy than the state-of-the-art algorithm under different deadline constraints and scale conditions.

The rest of this paper is organized as follows. Section 2 reviews related studies. Section 3 develops related models and preliminaries. Section 4 presents the EPM and QEPM algorithms. Section 5 evaluates the performance of the EPM and QEPM algorithms. Section 6 concludes this study.

#### 2 RELATED WORK

Energy-aware design and algorithms have been well studied in computing systems, such as embedded computing, cloud computing, and cluster computing systems [8], [9], [10], [11], [12], and networking systems, such as cognitive radio networks, wireless networks, and vehicular delay-

tolerant networks [13], [14], [15], [16], [17], [18]. In this study, we focus on energy optimization of computing systems, particularly on cloud computing systems.

Many studies have been conducted recently to minimize energy consumption while satisfying deadline constraint [19], [20], [21], [22]. However, these studies are restricted to independent tasks. As heterogeneous systems continue to be scaled up, DAG-based parallel applications with precedence constrained tasks, such as fast Fourier transform and Gaussian elimination applications, increase in number [2], [3], [4].

The problem of scheduling tasks on multiple processors is NP-hard [23]. Numerous meta-heuristic algorithms, such as tabu search [24], genetic algorithm (GA) [25], artificial immunity [26], simulated annealing [27], ant colony algorithm [28], and chemical reaction optimization (CRO) [29], are widely used in DAG-based parallel application scheduling. These algorithms usually generate better schedule quality than heuristic algorithms, but the computation time of these algorithms is higher than heuristic algorithms due to the poor search efficiency and frequent solution evaluation [30]. Scheduling tasks on heterogeneous processors with the objective of minimizing the schedule length of a DAG-based parallel application is a well-known NP-hard optimization problem, and heuristic list scheduling algorithms [2], [3], [11], [12], [31] have been proposed to generate near-optimal solutions of the multi-processors scheduling. A review of recent related research on energy consumption optimization for DAG-based parallel applications with precedence constrained tasks is provided in the following.

Zong et al. [32] considered energy-aware duplication scheduling algorithms for a parallel application in a homogeneous system. Lee and Zomaya [33] presented energy-conscious scheduling to minimize the energy consumption and schedule length of a parallel application simultaneously in a heterogeneous cloud system. However, these studies do not consider the deadline constraint of the application. The problem of minimizing the energy consumption of a deadline constrained application with precedence constrained sequential tasks [20] and precedence constrained parallel tasks (i.e., a parallel application) [1] has been investigated in different studies. However, these studies merely focused on homogeneous multiprocessors with shared memory. In [11], [12], the problem of minimizing the schedule length of a energy consumption constrained parallel application were studied by presenting a heuristic solution that minimum energy values are preassigned to unassigned tasks. Huang et al. [4] studied the problem of minimizing the energy consumption of a deadline constrained parallel application in a heterogeneous cloud system by using the enhanced energy-efficient scheduling (EES) algorithm, which reclaims the slack time by extending the finish time of each task to its LFT; however, this strategy merely minimizes the dynamic energy consumption and does not aim to minimize the static energy consumption.

Static energy consumption is an important part of total energy consumption in cloud computing systems. Turning off partial processors can reduce static energy consumption significantly and can therefore minimize the total energy consumption. In [34], the authors considered static power consumption and presented leakage-aware scheduling heuristics that determine the best trade-off among three techniques: DFVS, turning off processor, and finding the optimal number of processors. However, the scheduling heuristics is limited to a homogeneous multi-processor system with

TABLE 1
Important Notations Used in This Study

Notation	Definition
	Communication time between the tasks $n_i$
$c_{i,j}$	
A11. 1	and $n_j$ Execution time of the task $n_i$ running on the
$w_{i,k}$	processor $u_k$ with the maximum frequency
D(C)	
D(G)	Given deadline of the application $G$ Lower bound of the application $G$
LB(G)	
SL(G)	Schedule length of the application G
$E_{\rm s}(G)$	Static energy consumption of the
E (O)	application $G$
$E_{\rm d}(G)$	Dynamic energy consumption of the
F (0)	application $G$
$E_{\text{total}}(G)$	Total energy consumption of the
DOTAL (C. )	application G
$EST(n_i, u_k, f_{k,h})$	Earliest start time of the task $n_i$ on the
	processor $u_k$ with the frequency $f_{k,h}$
$EFT(n_i, u_k, f_{k,h})$	Earliest finish time of the task $n_i$ on the
T (	processor $u_k$ with the frequency $f_{k,h}$
$E_{\mathrm{d}}(n_i,u_k,f_{k,h})$	Dynamic energy consumption of the task $n_i$
	on the processor $u_k$ with the frequency $f_{k,h}$
$AFT(n_i)$	Actual finish time of the task $n_i$
$LFT(n_i, u_k)$	Latest finish time of the task $n_i$ on the
	processor $u_k$
$TN(u_k)$	Assigned task number on the processor $u_k$
$DEU(u_k)$	Dynamic energy utilization on the
	processor $u_k$
$SL_k(G)$	Schedule length of the application $G$ when
	the processor $u_k$ is turned-off
$E_{k,S}(G)$	Static energy utilization of the application
, , ,	$G$ when the processor $u_k$ is turned-off
$E_{k,d}(G)$	Dynamic energy utilization of the applica-
, · · · ·	tion $G$ when the processor $u_k$ is turned-off
$E_{k,\text{total}}(G)$	Total energy utilization of the application $G$
,	when the processor $u_k$ is turned-off

shared memory. In [35], the authors presented energy-aware task scheduling algorithms to sustain the schedule length and energy consumption of a parallel application simultaneously by identifying inefficient processors and turning them off to reduce energy consumption. However, these algorithms do not aim at deadline constrained parallel applications and do not use DVFS technique. In [5], the authors adopted the existing EES algorithm and presented the DEWTS algorithm to reduce static and dynamic energy consumption by turning off as many processors as possible. As pointed out in the Section 1.2, the DEWTS is not energy-aware and is inefficient to reduce energy.

# 3 MODELS AND PRELIMINARIES

Table 1 lists important notations and their definitions that are used in this study.

#### 3.1 Application Model

Let  $U = \{u_1, u_2, \dots, u_{|U|}\}$  denote a set of heterogeneous processors, where |U| represents the size of set U. For any set X, |X| is used to denote its size. Similar to [5], we also assume that communication can be overlapped with computation, which means data can be transmitted from one processor to another while a task is being executed on the recipient processor. A parallel application running on processors is represented by a DAG G = (N, M, C, W) [2], [3], [4], [5], [11], [12].

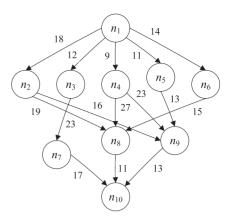


Fig. 1. Motivating example of a DAG-based parallel application with 10 tasks [2], [11], [12], [31].

- (1) N represents a set of nodes in G, and each node n<sub>i</sub> ∈ N represents a task with different execution time values on different processors. In addition, task executions of a given application are assumed to be non-preemptive which is possible in many systems [5]. pred(n<sub>i</sub>) represents the set of the immediate predecessor tasks of n<sub>i</sub>. succ(n<sub>i</sub>) represents the set of the immediate successor tasks of n<sub>i</sub>. The task that has no predecessor task is denoted as n<sub>entry</sub>; the task that has no successor task is denoted as n<sub>exit</sub>. If an application has multiple entry or multiple exit tasks, then a dummy entry or exit task with zero-weight dependencies is added to the graph.
- (2) W is a  $|N| \times |U|$  matrix, where  $w_{i,k}$  denotes the execution time of  $n_i$  running on  $u_k$  with the maximum frequency [8].
- (3) M is a set of communication edges, and each edge  $m_{i,j} \in M$  represents the communication message from  $n_i$  to  $n_j$ . Accordingly,  $c_{i,j} \in C$  represents the communication time of  $m_{i,j}$  if  $n_i$  and  $n_j$  are not assigned to the same processor. When tasks  $n_i$  and task  $n_j$  are allocated to the same processor,  $c_{i,j}$  becomes zero because we assume that the intra-processor communication cost can be ignored [5].

Let LB(G) represent the lower bound of the application, and is the minimum schedule length of application G when all tasks are executed on the processors with the maximum frequencies by using a DAG-based scheduling algorithm (e.g., HEFT [2], [4], [5]). D(G) represents the deadline of application G and should be larger than or equal to LB(G). SL(G) represents the generated schedule length of G.

Fig. 1 shows a motivating example of a DAG-based parallel application. Table 2 shows a matrix of execution time values in Fig. 1. The example shows 10 tasks executed on 3 processors  $\{u_1, u_2, u_3\}$ . The weight 14 of  $n_1$  and  $u_1$  in Table 2 represents the execution time with the maximum frequency denoted by  $w_{1,1}=14$ . The same task has different execution time values on different processors because of the heterogeneity of the processors. The weight 18 of the edge between  $n_1$  and  $n_2$  represents the communication time denoted as  $c_{1,2}$  if  $n_1$  and  $n_2$  are not assigned to the same processor.

# 3.2 Power and Energy Model

Given that an almost linear relationship exists between voltage and frequency, DVFS scales down voltage and

TABLE 2
Execution Time Values of Tasks on Different
Processors with the Maximum Frequencies of the
Application in Fig. 1 [2], [11], [12], [31]

Task	$u_1$	$u_2$	$u_3$
$\overline{n_1}$	14	16	9
$n_2$	13	19	18
$n_3$	11	13	19
$n_4$	13	8	17
$n_5$	12	13	10
$n_6$	13	16	9
$n_7$	7	15	11
$n_8$	5	11	14
$n_9$	18	12	20
$n_{10}$	21	7	16

frequency simultaneously to save energy. Similar to [8], [9], [10], we use the term "frequency change" to refer to changing voltage and frequency simultaneously. Considering a DVFS-capable system, we also adopt the system-level power model used in [8], [9], [10], [11], [12], where the power consumption at frequency f is provided by

$$P(f) = P_{\rm s} + h(P_{\rm ind} + P_{\rm d}) = P_{\rm s} + h(P_{\rm ind} + C_{\rm ef} f^{m}).$$

 $P_s$  represents the static power and can be removed only by powering off the processor.  $P_{\rm ind}$  represents frequency-independent dynamic power and can be removed by putting the system into the sleep state.  $P_{\rm d}$  represents frequency-dependent dynamic power and depends on frequencies. h represents system states and indicates whether dynamic powers are currently consumed in the system. When the system is active, h=1; otherwise, when system is in the sleep mode, h=0.  $C_{\rm ef}$  represents effective switching capacitance. m represents the dynamic power exponent and is not less than 2. Both  $C_{\rm ef}$  and m are processor-dependent constants.

Excessive overhead is associated with turning on/off a system.  $P_{\rm s}$  is always consumed and not manageable unless the processor is turned off [8], [9], [10], [11], [12]. Given that static energy consumption accounts for an important part of the total energy consumption in cloud computing systems, this study simultaneously considers static and dynamic powers [4], [5]. Note that we do not take the communication energy consumption into consideration for simplicity because we mainly focus on the task energy reduction in this study.

Because of the  $P_{\rm ind}$ , less  $P_{\rm d}$  does not result less energy consumption. That is, a minimum energy-efficient frequency  $f_{\rm ee}$  exists [8], [9], [10], [11], [12] and it is denoted by

$$f_{\rm ee} = \sqrt[m]{\frac{P_{\rm ind}}{(m-1)C_{\rm ef}}}.$$
 (1)

Assuming that the frequency of a processor varies from minimum available frequency  $f_{\min}$  to maximum frequency  $f_{\max}$ , the lowest frequency to execute a task should be

$$f_{\text{low}} = \max(f_{\text{min}}, f_{\text{ee}}). \tag{2}$$

Hence, any actual effective frequency  $f_h$  should belong to the scope of  $f_{\rm low}\!\leqslant\!f_{\rm h}\!\leqslant\!f_{\rm max}.$ 

Given that the number of processors is |U| in the system and these processors are completely heterogeneous, each processor should have individual power parameters [11],

[12]. Here, we define the static power set as

$$\{P_{1,s}, P_{2,s}, \dots, P_{|U|,s}\},\$$

frequency-independent dynamic power set

$$\{P_{1,\text{ind}}, P_{2,\text{ind}}, \dots, P_{|U|,\text{ind}}\},\$$

frequency-dependent dynamic power set

$$\{P_{1,d}, P_{2,d}, \dots, P_{|U|,d}\},\$$

effective switching capacitance set

$$\{C_{1,\text{ef}}, C_{2,\text{ef}}, \dots, C_{|U|,\text{ef}}\},\$$

dynamic power exponent set

$$\{m_1, m_2, \ldots, m_{|U|}\},\$$

minimum energy-efficient frequency set

$$\{f_{1,ee}, f_{2,ee}, \dots, f_{|U|,ee}\},\$$

and actual effective frequency set

$$\begin{cases}
\{f_{1,\text{low}}, f_{1,\alpha}, f_{1,\beta}, \dots, f_{1,\text{max}}\}, \\
\{f_{2,\text{low}}, f_{2,\alpha}, f_{2,\beta}, \dots, f_{2,\text{max}}\}, \\
\dots, \\
\{f_{|U|,\text{low}}, f_{|U|,\alpha}, f_{|U|,\beta}, \dots, f_{|U|,\text{max}}\}
\end{cases}$$

Let  $E_{\rm s}(G)$  represent the processor-generated static energy consumption of application G. Considering that turned-off processors do not consume energy,  $E_{\rm s}(G)$  must be the sum of the static energy consumptions of all turned-on processors. Therefore,  $E_{\rm s}(G)$  is calculated by

$$E_{s}(G) = \sum_{k=1, u, is \ om}^{|U|} \left( P_{k,s} \times SL(G) \right). \tag{3}$$

Let  $P_{\rm d}(n_i,u_k,f_{k,h})$  represent the dynamic power consumption of task  $n_i$  on processor  $u_k$  with frequency  $f_{k,h}$ . Considering that  $P_{\rm d}(n_i,u_k,f_{k,h})$  contains frequency-independent dynamic power  $P_{\rm ind}$  and frequency-dependent dynamic power  $P_{k,d}$ , it is calculated by

$$P_{\rm d}(n_i, u_k, f_{k,h}) = (P_{k,\rm ind} + C_{k,\rm ef} \times f_{k,h}^{m_k}).$$
 (4)

Let  $E_d(n_i, u_k, f_{k,h})$  represent the dynamic energy consumption of task  $n_i$  on processor  $u_k$  with frequency  $f_{k,h}$ , which is calculated by

$$E_{\mathrm{d}}(n_i, u_k, f_{k,h}) = \left(P_{k,\mathrm{ind}} + C_{k,\mathrm{ef}} \times f_{k,h}^{m_k}\right) \times \frac{f_{k,\mathrm{max}}}{f_{k,h}} \times w_{i,k}, \tag{5}$$

by substituting Eqs. (4) into (5).

Considering that the application's dynamic energy consumption  $E_d(G)$  is sum of the dynamic energy consumptions of all tasks,  $E_d(G)$  is calculated by

$$E_{d}(G) = \sum_{i=1}^{|N|} E_{d}(n_{i}, u_{pr(i)}, f_{pr(i), hz(i)}),$$
(6)

where  $u_{pr(i)}$  and  $f_{pr(i),hz(i)}$  represent the assigned processor and frequency of  $n_i$ , respectively.

TABLE 3
Upward Rank Values for Tasks of the Motivating
Parallel Application

Task	$n_1$	$n_2$	$n_3$	$n_4$	$n_5$	$n_6$	$n_7$	$n_8$	$n_9$	$n_{10}$
$rank_{\mathrm{U}}(n_i)$	108	77	80	80	69	63.3	42.7	35.7	44.3	14.7

Considering that the application's total energy consumption  $E_{\rm total}(G)$  is the sum of its static energy consumption  $E_{\rm s}(G)$  and dynamic energy consumption  $E_{\rm d}(G)$ ,  $E_{\rm total}(G)$  is calculated by

$$E_{\text{total}}(G) = E_{\text{s}}(G) + E_{\text{d}}(G). \tag{7}$$

In this study, we ignore the overheads of the frequency transitions due to the negligible amount of time (e.g.,  $10~\mu$ s- $150~\mu$ s [5], [33]).

#### 3.3 Lower Bound Certification

Similar to state-of-the art studies [4], [5], this study also employs the heterogeneous earliest finish time (HEFT) algorithm to certify the lower bound of a parallel application. The lower bound is calculated by

$$LB(G) = \min_{u_k \in U} \{ EFT(n_{\text{exit}}, u_k, f_{k,\text{max}}) \}.$$
 (8)

A relative deadline D(G), which is larger than or equal to lower bound LB(G), is then provided for the application. List scheduling includes two phases, namely, task prioritization and task allocation. The HEFT algorithm is one of the most popular DAG-based scheduling algorithms for reducing the schedule length to a minimum while achieving low complexity and high performance in heterogeneous systems and has been employed in energy-efficient scheduling [4], [5]. The two-phase HEFT algorithm has two important functions.

1) Task Prioritization. HEFT utilizes the upward rank value ( $rank_{\rm u}$ ) of a task (Eq. (9)) as the task priority standard. In this case, the tasks are ordered according to the descending order of  $rank_{\rm u}$ . Table 3 shows the  $rank_{\rm u}$  values of all tasks of the motivating parallel application (Fig. 1) obtained by using

$$rank_{\mathbf{u}}(n_i) = \overline{w_i} + \max_{n_j \in succ(n_i)} \{c_{i,j} + rank_{\mathbf{u}}(n_j)\},$$
(9)

where  $\overline{w_i}$  represents the average execution time of task  $n_i$  and is calculated by

$$\overline{w_i} = \left(\sum_{k=1}^{|U|} w_{i,k}\right) / |U|.$$

2) Task Assignment.  $EST(n_i, u_k, f_{k,\max})$  and  $EFT(n_i, u_k, f_{k,\max})$  represent the earliest start time (EST) and earliest finish time (EFT), respectively, of task  $n_i$  on processor  $u_k$  with maximum frequency  $f_{k,\max}$ .  $EFT(n_i, u_k, f_{k,\max})$  is considered as the task assignment criterion in HEFT because it can satisfy the local optimal of each task. The aforementioned attributes are calculated by

TABLE 4 Power Parameters of Processors  $(u_1, u_2, \text{ and } u_3)$ 

$u_k$	$P_{k,s}$	$P_{k,\mathrm{ind}}$	$C_{k,\mathrm{ef}}$	$m_k$	$f_{k,\text{ee}}(f_{k,\text{low}})$	$f_{k,\max}$
$\overline{u_1}$	0.3	0.06	0.8	2.9	0.33	1.0
$u_2$	0.2	0.07	1.2	2.7	0.29	1.0
$u_3$	0.1	0.07	1.0	2.4	0.29	1.0

$$\begin{cases}
EST(n_{\text{entry}}, u_k, f_{k,\text{max}}) = 0 \\
EST(n_i, u_k, f_{k,\text{max}}) = \max \left\{ avail[k], \max_{n_x \in pred(n_i)} \left\{ AFT(n_x) + c'_{x,i} \right\} \right\}, 
\end{cases}$$
(10)

and

$$EFT(n_i, u_k, f_{k,\max}) = EST(n_i, u_k, f_{k,\max}) + w_{i,k}.$$
 (11)

avail[k] is the earliest available time that processor  $u_k$  is ready for task execution, and  $AFT(n_x)$  represents the actual finish time (AFT) of task  $n_x$ .  $c_{x,i}^\prime$  represents the actual communication time between  $n_x$  and  $n_i$ . If  $n_x$  and  $n_i$  are assigned to the same processor, then  $c_{x,i}^\prime=0$ ; otherwise,  $c_{x,i}^\prime=c_{x,i}.$   $n_i$  is assigned to the processor with the minimum EFT by using the insertion-based scheduling strategy.  $n_i$  is inserted into the slack with the minimum EFT. The difference between EFT and AFT is that EFT is the value before task assignment, whereas AFT is the value after task assignment.

We provide an example to show the results of the HEFT algorithm. To evaluate energy consumption, the power parameters for all the processors are shown in Table 4. The minimum energy-efficient frequency  $f_{k,\text{ee}}$  (considered  $f_{k,\text{low}}$  in this example) for each processor is obtained according to Eq. (1). Meanwhile, similar to [8], [9], the maximum frequency  $f_{k,\text{max}}$  for each processor is assumed to be 1.0.

**Example 1.** Table 5 shows the task assignment of the motivating parallel application (Fig. 1) using the HEFT algorithm. Each row represents a task assignment and its corresponding values. The final static and dynamic energy consumptions are  $E_{\rm s}(G)=48$  and  $E_{\rm d}(G)=122.52$ , respectively; hence, the total energy consumption is  $E_{\rm total}(G)=170.52$ .

Fig. 2 shows the Gantt chart of parallel application G (Fig. 1) using the HEFT algorithm. The lower bound is obtained as LB(G)=80, and the deadline is set to D(G)=100. The arrows in Fig. 2 represent the generated communication time values between tasks.

# 3.4 Problem Statement

We assume that we have a parallel application G and a heterogeneous multi-processor set U with different frequency levels. The problem to be addressed is to assign an available processor with a proper frequency for each task while saving energy consumption and ensuring that the obtained makespan of the application does not exceed its deadline. The objective is to determine the processor and frequency assignments of all tasks to minimize the total energy consumption

$$E_{\text{total}}(G) = E_{\text{s}}(G) + E_{\text{d}}(G),$$

subject to the deadline constraint

$$SL(G) \leq D(G)$$
,

TABLE 5
Task Assignment of the Motivating Parallel Application (Fig. 1)
Using the HEFT Algorithm

$n_i$	$u_k$	$f_{k,\max}$	$AST(n_i)$	$AFT(n_i)$	$E_{\mathrm{d}}(n_i, u_k, f_{k,\mathrm{max}})$
$\overline{n_1}$	$u_3$	1.0	0	9	9.63
$n_3$	$u_3$	1.0	9	28	20.33
$n_4$	$u_2$	1.0	18	26	10.16
$n_2$	$u_1$	1.0	27	46	11.18
$n_5$	$u_3$	1.0	28	38	10.70
$n_6$	$u_2$	1.0	26	42	20.32
$n_9$	$u_2$	1.0	56	68	15.24
$n_7$	$u_3$	1.0	38	49	11.77
$n_8$	$u_1$	1.0	57	62	4.3
$n_{10}$	$u_2$	1.0	73	80	8.89

and the frequency selection constraint

$$f_{pr(i),low} \leq f_{pr(i),hz(i)} \leq f_{pr(i),max},$$

 $LB(G) = 80 < D(G) = 100, E_{\text{total}}(G) = 48 + 122.52 = 170.52$ 

for  $\forall i: 1 \leq i \leq |N|, u_{pr(i)} \in U$ .

#### 4 ENERGY-AWARE PROCESSOR MERGING

# 4.1 Processor Merging

We list some important basic concepts as follows before explaining the details in this section.

Let  $TN(u_k)$  represent the assigned task number on the processor  $u_k$  using a scheduling algorithm. Then the processor with the minimum assigned task number is calculated by

$$TN(u_{\min}) = \min_{u_k \in U} \{TN(u_k)\}.$$
 (12)

**Definition 1 (Dynamic Energy Utilization).** The dynamic energy utilization is defined as the ratio of the dynamic energy consumption on the processor to the total energy consumption on the processor

$$DEU(u_k) = \frac{\sum_{i=1, u_{pr(i)} = u_k}^{|N|} E_{d}(n_i, u_k, f_{k, hz(i)})}{\sum_{i=1, u_{pr(i)} = u_k}^{|N|} E_{d}(n_i, u_k, f_{k, hz(i)}) + P_{k,s} \times SL(G)}.$$
(13)

Then the processor with the minimum dynamic energy utilization is calculated by

$$DEU(u_{\min}) = \min_{u_k \in U} \{ DEU(u_k) \}. \tag{14}$$

**Definition 2 (Latest Finish Time (LFT)).** *The LFT of a task is considered to be the task's deadline and is calculated by* 

$$\begin{cases}
LFT(n_{\text{exit}}) = D(G) \\
LFT(n_i) = \min \left\{ \min_{n_i \in succ(n_i)} \{AST(n_i) + c'_{i,j}\}, AST(n_{dn(i)}) \right\}, \\
\end{cases} (15)$$

where  $n_{dn(i)}$  represents the downward neighbor (DN) task of  $n_i$  on the same processor.

Considering that each processor entails static energy consumption and it can be removed only by turning off the processor, the state-of-the-art DEWTES algorithm is proposed by turning off several processors to further minimize the energy consumption while still satisfying the deadline

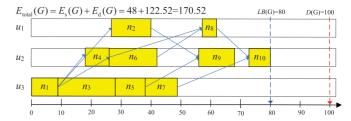


Fig. 2. Scheduling Gantt chart of the motivating parallel application using the HEFT algorithm.

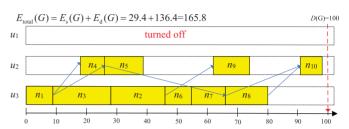


Fig. 3. HEFT-generated scheduling of the motivating parallel application on  $u_2$  and  $u_3$  when  $u_1$  is turned off.

constraint of the application. The core objective of DEWTS is to gradually turn off partial processors with low utilization and reassign the tasks on these turned-off processors to the turned-on processors until the schedule length exceeds the deadline. We illustrate the motivating parallel application to explain the entire process of the DEWTS algorithm.

- (1) DEWTS first invokes the HEFT algorithm for all the turned-on processors ( $u_1$ ,  $u_2$ , and  $u_3$ ), and the result is similar to that in Fig. 2. Obviously, we have SL(G)=80, and the deadline of the parallel application is satisfied.
- (2) Given that processor  $u_1$  has the minimum task number calculated by Eq. (12) in Fig. 2, it should be turned off in advance (if two processors have the same task number, then the processor with low dynamic energy utilization calculated by Eq. (13) is turned off as pointed out earlier). Hence, DEWTS continues to invoke the HEFT algorithm for all the turned-on processors ( $u_2$  and  $u_3$ ), and we have SL(G) = 98, as shown in Fig. 3. In this case, as SL(G) = 98, the deadline of the parallel application is still satisfied.
- (3) DEWTS invokes the EES algorithm [4] for  $u_2$  and  $u_3$  to save energy while satisfying the deadline of the parallel application, as shown in Fig. 4. The main idea of the EES algorithm is that the  $AFT(n_i)$  obtained by the HEFT algorithm can be extended to  $LFT(n_i)$  defined in Definition 2 by using the EES algorithm because slacks exist between two adjacent tasks in the same processor. For example, as shown in Fig. 2, we have  $n_{dn(2)} = n_8$  and  $n_{dn(9)} = n_{10}$ . In other words, the LFT extension of  $n_i$  is restricted by its downward neighbor. For example, as shown in Fig. 2, the AFT of  $n_{10}$  can be extended to 100, and the AFT of  $n_9$  can be extended to the AST of  $n_{10}$  while satisfying the deadline constraint.
- 4) Given that processor  $u_2$  has a small task number, it should be turned off. DEWTS continues to invoke the HEFT algorithm for all the turned-on processors  $(u_3)$ ; however, the generated schedule length is SL(G) = 143 and cannot satisfy the deadline of the

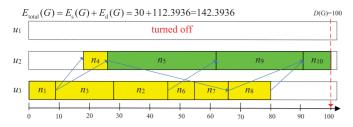


Fig. 4. EES-generated scheduling of the motivating parallel application on  $u_2$  and  $u_3$  when  $u_1$  is turned off.

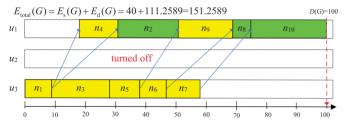


Fig. 5. EES-generated scheduling of the motivating parallel application on  $u_1$  and  $u_3$  when  $u_2$  is turned off.

application. Then, the final result should be that in Fig. 4. The final static and dynamic energy consumptions are  $E_{\rm s}(G)=30$  and  $E_{\rm d}(G)=112.3936$ , respectively; hence, the total energy consumption is  $E_{\rm total}(G)=142.3936$ , which is less than the 160.6569 of the EES algorithm.

# 4.2 Energy-Aware Processor Merging

We list some important basic concepts as follows before explaining the details in this section.

Let  $E_{k,s}(G)$  (calculated by Eq. (3)) and  $E_{k,d}(G)$  (calculated by Eq. (6)) represent the static and dynamic energy consumption of the application G, respectively, when the processor  $u_k$  is turned-off. Thus, the total energy consumption  $E_{k,\text{total}}(G)$  can be calculated by

$$E_{k,\text{total}}(G) = E_{k,\text{s}}(G) + E_{k,\text{d}}(G).$$
 (16)

Let  $SL_k(G)$  represent the schedule length of the application G by the HEFT algorithm when the processor  $u_k$  is turned-off. Then the processor  $u_{\min}$  that can lead to the minimum total energy consumption among the remaining active processors while guaranteeing that the schedule length is less than or equal to the deadline can be found by

$$E_{\min,\text{total}}(G) = \min_{u_k \in U, u_k \text{ is off, } SL_k(G) \leqslant D(G)} \{ E_{k,\text{total}}(G) \}, \quad (17)$$

As mentioned early, although the DEWTS algorithm can satisfy the deadline constraint of the application, it merely reduces the energy consumption through simple processor merging, and its energy efficiency is limited. Consider the same example in Fig. 4, if  $u_2$  or  $u_3$  is turned off instead of the  $u_1$ , then the results of Figs. 5 and 6 can be obtained.

Compared with Figs. 4, 5, and 6, turning off  $u_3$  can lead to the minimum total energy consumption. The static energy consumption of processor may be different because each processor has its own static power  $P_{k,s}$ . Such results indicate that turning off the processor with a small number of tasks does not necessarily lead to the maximum reduction of energy in heterogeneous systems, and more sophistical selection is needed to further decrease the energy consumption.

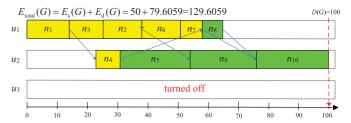


Fig. 6. EES-generated scheduling of the motivating parallel application on  $u_1$  and  $u_2$  when  $u_3$  is turned off.

Inspired by the aforementioned analysis, we present the energy-aware processor merging algorithm called EPM described in Algorithm 1 to further minimize the energy consumption while satisfying the deadline constraint of the parallel application.

# Algorithm 1. The EPM Algorithm

Input:  $U = \{u_1, u_2, \dots, u_{|U|}\}$ , G, and D(G)Output:  $E_{\text{total}}(G)$ 

- 1: Invoke the HEFT algorithm on all the processors to obtain the initial total energy consumption  $E_{\text{total}}(G)$ ;
- Put all the processors in to the turned-on processor set turned\_on\_processor\_set;
- 3: **while** (*turned\_on\_processor\_set* is not null) **do**
- 4: **for** (each turned-on processor  $u_k \in turned\_on\_processor\_set)$  **do**
- 5: Let  $u_k$  be a turned off state;
- 6: Invoke the HEFT algorithm on all turned-on processors to obtain the schedule length  $SL_k(G)$  if  $u_k$  is turned off:
- 7: **if**  $(SL_k(G) < = D(G))$  **then**
- 8: Invoke the EES algorithm on all turned-on processors to obtain the dynamic energy consumption  $E_{k,d}(G)$ ;
- 9: Calculate the static energy consumption  $E_{k,s}(G)$  on all turned-on processors using Eq. (3);
- 10: Calculate the total energy consumption  $E_{k,\text{total}}(G)$  Eq. (16);
- 11: end if
- 12: end for
- 13: if (no result satisfies  $SL_k(G) <= D(G)$ ) then
- 14: break;
- 15: **else**
- 16: Select the processor  $u_{\min}$  with the
  - minimum  $E_{\text{min,total}}(G)$ , namely, could generate the minimum energy consumption in the
    - remaining processors;
- 17: Turn off  $u_{\min}$  and remove it from
- turned\_on\_processor\_set;Update the total energy consumption
- $E_{\text{total}}(G)$  as  $E_{\text{min,total}}(G)$
- 19: **end if**
- 20: end while

The main idea of the EPM algorithm is that it executes energy-aware processor merging by turning off the processor without which can save the maximum energy consumption while satisfying the deadline constraint of the application. The details of EPM are explained as follows (Lines 3-20).

(1) In Lines 4-12, the algorithm tries to turn off each processor. The energy consumption of the application

- on the remaining active processors is calculated by using the HEFT and EES algorithm. Let  $E_{k,\mathrm{total}}(G)$  and  $SL_k(G)$  represent the total energy consumption and schedule length of the application when the processor  $u_k$  is turned-off, respectively.
- (2) In Lines 15-19, the processor that can lead to the minimum total energy consumption (Eq. (17)) among the remaining active processors is actually turned off while guaranteeing that the schedule length is less than or equal to the deadline.
- (3) In Lines 13-15, if turning off any processor cannot satisfy the deadline constraint of the application, then the algorithm is terminated.

Consider the motivating example that each processor is attempted to be turned off. The scheduling Gantt charts are shown in Figs. 4 (turning off  $u_1$ ), 5 (turning off  $u_2$ ), and 6 (turning off  $u_3$ ). Turning off any processor can satisfy the deadline constraint of the application. Subsequently,  $u_3$  is actually turned off because the minimum energy consumption is generated. Hence, the final energy consumption using the EPM algorithm is 129.6059 (Fig. 6), which is less than that using the DEWTS algorithm (Fig. 4).

The time complexity of the EPM algorithm is analyzed. The maximum number of turned-off processors is O(|U|) time (Line 3). Traversing all processors for selection can be done in O(|U|) time (Line 4). Invoking the HEFT algorithm should be done in  $O(|N|^2 \times |U|)$  time (Line 6). Therefore, the complexity of the EPM is algorithm  $O(|N|^2 \times |U|^3)$ . However, the state-of-the DEWTS algorithm entails  $O(|N|^2 \times |U|^2)$ ; hence, EPM is one exponent higher than the DEWTS algorithm.

# 4.3 Quick Energy-Aware Processor Merging

Although the EPM algorithm can minimize energy consumption through energy-aware processor merging, it requires large computation effort. To reduce the energy consumption of a large-scale parallel application with an acceptable amount of computation time, a quick energy-aware processor merging (QEPM) algorithm is presented. Similar to EPM, the QEPM can also reduce energy consumption while satisfying the deadline constraint of the application, but it has low computation complexity. The detailed algorithm is described in Algorithm 2.

The main difference between QEPM and EPM is that the operation of traversing all processors for selection in Lines 4-12 of Algorithm 1 is removed from the while loop, as shown in Lines 2-10 of Algorithm 2. In other words, QEPM no longer attempts to turn off each processor in each loop but just directly selects the processor  $u_{\min}$  with the minimum  $E_{\min, \text{total}}(G)$  from the turned-on processor set based on previous ordering in Line 11. The main advantage of QEPM is that its time complexity is reduced to  $O(|N|^2 \times |U|^2)$ , but such a simplification may result in larger energy consumption than the EPM algorithm.

# 5 PERFORMANCE EVALUATION, EXPERIMENTAL RESULTS, AND DISCUSSION

#### 5.1 Performance Evaluation Metrics

The performance metrics selected for comparison are as listed follows for a given application:

- Total energy consumption
- Computation time of task assignment
- Number of turned-on processors

# Algorithm 2. The QEPM Algorithm

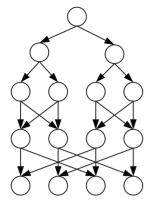
Input:  $U = \{u_1, u_2, \dots, u_{|U|}\}$ , G, and D(G)

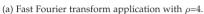
- Output:  $E_{\text{total}}(G)$
- 1: Invoke the HEFT algorithm on all all the processors to obtain the initial total energy consumption  $E_{\text{total}}(G)$ ;
- 2: **for** (each turned-on processor  $u_k \in turned\_on\_processor\_set)$  **do**
- 3: Let  $u_k$  be a turned off state;
- Invoke the HEFT algorithm on all turned-on processors to obtain the schedule length SL<sub>k</sub>(G) if u<sub>k</sub> is turned off;
- 5: **if**  $(SL_k(G) <= D(G))$  **then**
- 6: Invoke the EES algorithm on all turned-on processors to obtain the dynamic energy consumption  $E_{k,d}(G)$ ;
- 7: Calculate the static energy consumption  $E_{k,s}(G)$  on all turned-on processors using Eq. (3);
- 8: Calculate the total energy consumption  $E_{k,\text{total}}(G)$ Eq. (16);
- 9: end if
- 10: end for
- Put all the processors into the active processor set turned\_on\_processor\_set according to an ascending of E<sub>k.total</sub>(G);
- 12: while (turned\_on\_processor\_set is not null) do
- 13: Select the processor  $u_{\min}$  with the minimum  $E_{\min,\text{total}}(G)$  from  $turned\_on\_processor\_set$ ;
- 14: Assume that  $u_{\min}$  is turned off;
- 15: Invoke the HEFT algorithm on all turned-on processors to obtain the schedule length  $SL_{\min}(G)$  if  $u_{\min}$  is turned off.
- 16: **if**  $(SL_{\min}(G) < = D(G))$  **then**
- 17: Invoke the EES algorithm on all turned-on processors to obtain the dynamic energy consumption  $E_d(G)$ ;
- 18: Calculate the static energy consumption  $E_s(G)$  on all turned-on processors using Eq. (3);
- 19: Calculate the total energy consumption  $E_{\text{total}}(G)$  Eq. (7);
- 20: Turn off  $u_{\min}$  and remove it from  $turned\_on\_processor\_set$ ;
- 21: **end if**
- 22: end while

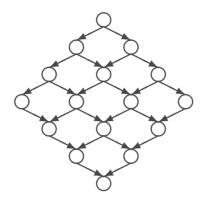
We select the state-of-the-art algorithm i.e., the DEWTS [5] for comparison in the experiments. We also present the results of using the standard HEFT algorithm [2]. Considering that the obtained schedule lengths using the DEWTS, EPM, and QEPM algorithms are equal to the given deadlines, we no longer provide the schedule lengths.

The values of the processor and application parameters are as follows [36]:  $10 \text{ h} \leqslant w_{i,k} \leqslant 100 \text{ h}$ ,  $10 \text{ h} \leqslant c_{i,j} \leqslant 100 \text{ h}$ ,  $0.1 \leqslant P_{k,\text{s}} \leqslant 0.5$ ,  $0.03 \leqslant P_{k,\text{ind}} \leqslant 0.07$ ,  $0.8 \leqslant C_{k,\text{ef}} \leqslant 1.2$ ,  $2.5 \leqslant m_k \leqslant 3.0$ , and  $f_{k,\text{max}} = 1 \text{ GHz}$ . All frequencies are discrete, and the precision is 0.01 GHz. All frequencies are discrete, with a precision of 0.01 GHz. All parallel applications are executed on a simulated multiprocessor system 64 heterogeneous processors by creating 64 processor objects based on known parameter values using Java on a standard desktop computer with 2.6 GHz Intel CPU and 4 GB memory.

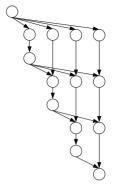
Real parallel applications with precedence constrained tasks, such as fast Fourier transform, Diamond graph, and Gaussian elimination applications, are widely used in high-performance cloud computing systems [2], [4], [20]. To validate the effectiveness and validity of the proposed







(b) Diamond graph application with  $\rho$ =4.



(c) Gaussian elimination application with  $\rho$ =5.

Fig. 7. Example of real parallel applications.

algorithm, we use these real parallel applications to compare the results of the four algorithms.

The structures of these applications are described as follows:

- (1) Fast Fourier transform application. A new parameter  $\rho$  is used as the size of the fast Fourier transform parallel application, and the total number of tasks should be  $|N| = (2 \times \rho 1) + \rho \times \log_2{}^{\rho}$  [2], [3], where we assume that  $\rho = 2^y$  for some integer y. Notably,  $\rho$  exit tasks exist in the fast Fourier transform parallel application with the size of  $\rho$ .
- (2) Diamond graph application. The new parameter  $\rho$  is used as the size of the Diamond graph application, and the total number of tasks is  $|N| = \rho^2$  [20]. Fig. 7c shows an example of the Diamond graph application with  $\rho = 4$ .
- (3) Gaussian elimination application. A new parameter  $\rho$  is used as the matrix size of the Gaussian elimination application and the total number of tasks should be [2], [3]  $|N| = \frac{\rho^2 + \rho 2}{2}$ . Fig. 7b shows an example of the Gaussian elimination application with  $\rho = 5$ .

Fourier transform is a high-parallelism application, whereas Diamond graph and Gaussian elimination are low-parallelism applications because Fast Fourier transform can generate much shorter schedule length than Diamond graph and Gaussian elimination in an approximately equal scale, as shown in Table 6. The readers can refer to [37] with regard to the parallelism degree of a DAG-based parallel application.

All the results in experiments are obtained by executing one run of the algorithms for one application. Many applications with the same parameter values and scales are tested and the relatively stable results in the same experiment are shown. In other words, all experiments are repeatable and do not affect the consistency of the results.

TABLE 6
Average Schedule Lengths (Unit: h) of Applications Using HEFT

Application	Task number	Average schedule lengths	
Fast Fourier transform	2,559	1,198	
Diamond graph	2,555	7,150	
Gaussian elimination	2,601	7,458	

#### 5.2 Fast Fourier Transform Application

Experiment 1. This experiment is conducted to compare the energy consumption values, computation time, and turned-on processor number of fast Fourier transform applications for varying numbers of tasks. We limit D(G) to  $D(G) = LB(G) \times 1.4$ .  $\rho$  is changed from 16 to 256, that is, the numbers of tasks vary from 95 (small scale) to 2,559 (large scale).

Fig. 8a shows the energy consumption values of fast Fourier transform applications for varying numbers of tasks by using all the algorithms. HEFT is the standard algorithm in all the experiments. Among the three processor merging algorithms, the EPM algorithm generates the minimum energy consumption values, followed by QEPM and DEWTS at all scales. The average energy consumption reduction per task for EPM and QEPM compared with DEWTS in the small scale (|N|=96) is 16.98 and 8.26 GWh, respectively, whereas that in the large scale (|N|=2,559) is 8.26 and 2.42 GWh, respectively. These results indicate that the proposed energy-aware processor merging algorithms can save more energy consumption than the sate-of-the DEWTS algorithm at different task scales.

Fig. 8b shows the computation time of fast Fourier transform applications for varying numbers of tasks using the DEWTS, EPM, and QEPM algorithms. The values show that the computation time using EPM is 30-70 times of that using DEWTS. The computation time using QEPM is only 1-2.6 times that of using DEWTS. Specifically, the computation time is large for EPM, whereas QEPM can reduce the computation time to an acceptable and low value.

The results of Figs. 8a and 8b show that EPM and QEPM algorithms can save more energy consumption than the sate-of-the-art DEWTS algorithm. Specifically, the EPM algorithm is more energy efficient than the QEPM algorithm, but it is time consuming for large-scale parallel applications.

Fig. 8c shows the number of turned-on processors of fast Fourier transform applications for varying numbers of tasks using the HEFT, DEWTS, EPM, and QEPM algorithms. The standard HEFT algorithm has a total processor number of 64. Although the EPM algorithm generates the minimum energy consumption values compared with the DEWTS and QEPM algorithms (Fig. 8a), the turned-on processor number is not the smallest. On the contrary, EPM has more turned-on processors than DEWTS and QEPM. These results indicate that (1) minimizing the turned-on processor number

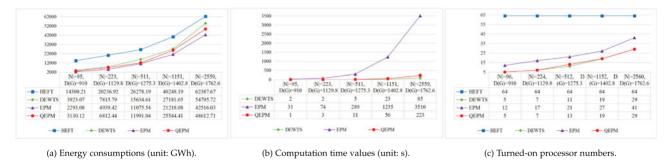


Fig. 8. Results of fast Fourier transform application with the deadline constraint  $D(G) = LB(G) \times 1.4$  for varying numbers of tasks (Experiment 1).

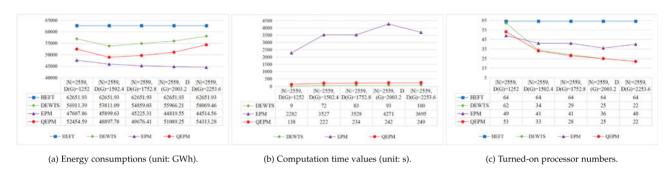


Fig. 9. Results of fast Fourier transform application with  $\rho = 256$  (i.e., |N| = 2,559) for varying deadlines (time unit: h) (Experiment 2).

does not mean minimizing the total energy consumption of the application and (2) turning off processors while satisfying the deadline constraint of the application is not an effective method; the most effective processors to be turned off need to be determined so that a reasonable tradeoff between DVFS and turned-on processor number can be achieved.

Experiment 2. To observe the performance on different deadlines, an experiment is conducted to compare the final energy consumption values of the fast Fourier transform application for varying deadline constraints. We limit the size of the application to  $\rho=256$  (i.e., |N|=2,559) and change D(G) from  $LB(G)\times 1.0$  to  $LB(G)\times 1.8$  with  $LB(G)\times 0.2$  increments, where LB(G)=1,252 is calculated using the HEFT algorithm.

Fig. 9a shows the energy consumption of the fast Fourier transform application with  $\rho = 256$  (i.e., |N| = 2,559) for varying deadlines by using all the algorithms. Among the three processor merging algorithms, the EPM algorithm generates the minimum energy consumption values, followed by QEPM and DEWTS in all scales. Another important result is that with the increase in deadline (except for D(G) = LB(G)), the energy consumption values increase gradually using the DEWTS and QEPM algorithms, whereas these values decrease using the EPM algorithm. When  $D(G) = LB(G) \times 1.8$ , the average energy consumption reduction per task for EPM and QEPM compared with DEWTS is 5.295 and 1.467 GWh, respectively. That is, the EPM and QEPM algorithms (especially EPM) are more energy efficient for the fast Fourier transform application than the DEWTS algorithm. These results indicate that turning off the processors with a small task number or low energy utilization does not mean that energy consumption could be reduced; both EPM and QEPM directly consider the energy-aware purpose, so they are useful for energyefficient designs.

Fig. 9b shows the computation time of the fast Fourier transform application with  $\rho=256$  (i.e., |N|=2,559) for

varying deadlines by using the DEWTS, EPM, and QEPM algorithms. An obvious result is that EPM is much more time consuming than DEWTS and QEPM. With the increase in deadline, the computation time for EPM also increases gradually from 2,282 s to 4,271 s in general, whereas that for DEWTS (about 8-100 s) and QEPM (about 128-250 s) is relatively stable.

Fig. 9c shows the turned-on processor number of the fast Fourier transform application with  $\rho=256$  (i.e., |N|=2,559) for varying deadlines by using all the algorithms. Except for D(G)=LB(G), the EPM algorithm turns on more processors (7-18) than both DEWTS and QEPM. These results imply that turning off more processors may not benefit more energy reduction. Thus, how to select processors for turning off should be considered carefully.

#### 5.3 Diamond Graph Application

Experiment 3. This experiment is conducted to compare the final energy consumption values of the Diamond graph application for varying deadline constraints. We limit the size of the application to  $\rho=51$  (i.e., |N|=2,601, which is approximately equal to the number of tasks of the fast Fourier transform application in Experiment 2) and change D(G) from  $LB(G)\times 1.0$  to  $LB(G)\times 1.8$  with  $LB(G)\times 0.2$  increments, where LB(G)=7,557 is calculated using the HEFT algorithm.

Fig. 10a shows the energy consumption of the Diamond graph application with  $\rho=51$  (i.e., |N|=2,601) for varying deadlines by using all the algorithms. Among the three processor merging algorithms, the EPM algorithm generates the minimum energy consumption values, followed by QEPM and DEWTS at all scales. The main difference between Diamond graph (Fig. 10a) and fast Fourier transform (Fig. 9a) applications is that the reduced energy consumption for the fast Fourier transform application in all cases is relatively smooth, whereas that for the Diamond graph application in D(G)=LB(G) is small but large in other cases.

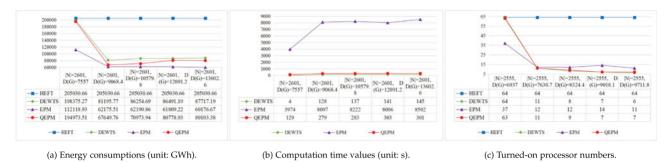


Fig. 10. Results of Diamond graph application with  $\rho = 51$  (i.e.,  $|N| = 2{,}601$ ) for varying deadlines (time unit: h) (Experiment 3).

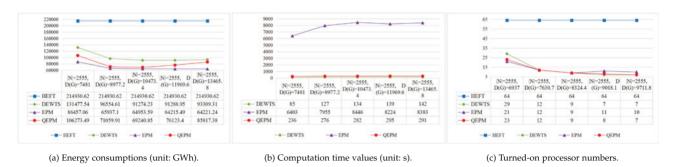


Fig. 11. Results of Gaussian elimination application with  $\rho = 71$  (i.e., |N| = 2,555) for varying deadlines (time unit: h) (Experiment 4).

Fig. 10b shows the computation time of the Diamond graph application with  $\rho=51$  (i.e., |N|=2,601) for varying deadlines by using the DEWTS, EPM, and QEPM algorithms. As expected, the regular pattern of Fig. 10b is similar to that of Fig. 9b. However, the Diamond graph application needs more computation time (about 8,000 s) than the fast Fourier transform application (nearly 4,000 s) to calculate the energy consumption values, although they are on the same scale in terms of number of tasks.

Fig. 10c shows the turned-on processor number of Diamond graph application with  $\rho=51$  (i.e., |N|=2,601) for varying deadlines by using all the algorithms. The regular pattern of Fig. 10c is similar to that of Fig. 9c, but the average turned-on processor number for the Diamond graph application is about 12, whereas that for the fast Fourier transform is about 40 when using the EPM algorithm. The reason is that the Diamond graph application has lower parallelism than the fast Fourier transform application, such that many processors are not sufficiently employed. That is, the DEWTS algorithm may be suitable for such an application, but it is still less energy efficient than EPM and QEPM algorithms (Fig. 10a).

# 5.4 Gaussian Elimination Application

Experiment 4. This experiment is conducted to compare the final energy consumption values of the Gaussian elimination application for varying deadline constraints. We limit the size of the application to  $\rho=71$  (i.e., |N|=2,555) and change D(G) from  $LB(G)\times 1.0$  to  $LB(G)\times 1.8$  with  $LB(G)\times 0.2$  increments, where LB(G)=7,481 is calculated using the HEFT algorithm.

Fig. 11a shows the energy consumption of the Gaussian elimination application with  $\rho=71$  (i.e.,  $|N|=2{,}555$ ) for varying deadlines by using all the algorithms. Among the three processor merging algorithms, similar to the results in Figs. 9a and 10a, the EPM algorithm generates the minimum energy consumption values, followed by QEPM and

DEWTS at all scales. The main difference between Gaussian elimination (Fig. 11a) and Diamond graph (Fig. 10a) applications is that when D(G) = LB(G), the reduced energy consumption for the Gaussian elimination is large, whereas that for the Diamond graph application is small when the DEWTS and QEPM algorithms are used.

Fig. 11b shows the computation time of the Gaussian elimination application with  $\rho=71$  (i.e., |N|=2,555) for varying deadlines by using the DEWTS, EPM, and QEPM algorithms. The regular pattern of Fig. 11b is similar to that of Figs. 9b and 10b. The Gaussian elimination and Diamond graph applications need the same computation time under the same conditions.

Fig. 11c shows the turned-on processor number of the Gaussian elimination application with  $\rho=256$  (i.e.,  $|N|=2,\!560$ ) for varying deadlines by using all the algorithms. The regular pattern of Fig. 11c is similar to that of Figs. 9c and 10c. Compared with Figs. 9c, 10c, and 11c, maximum processor numbers are turned-off for the Gaussian elimination application (Fig. 11c), followed by Diamond graph (Fig. 10c) and fast Fourier transform (Fig. 9c) applications. These results indicate that the Gaussian elimination application has the lowest parallelism, followed by Diamond graph and fast Fourier transform; many processors are not sufficiently employed.

#### 5.5 Randomly Generated Parallel Application

To extensively demonstrate the performance benefits of the proposed algorithms, we consider randomly generated parallel applications using the task graph generator in [38]. As the objective cloud computing platform consists of heterogeneous processors, heterogeneity degrees may also affect the energy consumption of application. Heterogeneity degree is easy to be implemented for the task graph generator by adjusting the heterogeneity factor values [39]. In this study, randomly generated parallel applications are generated depending on the following parameters: Average

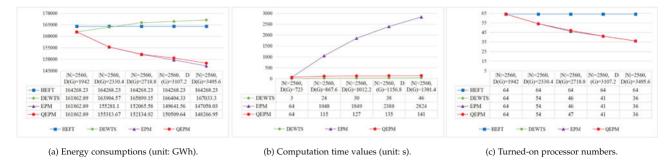


Fig. 12. Results of large-scale low-heterogeneity randomly generated parallel application with  $|N|=2{,}560$  for varying deadlines (time unit: h) (Experiment 5).

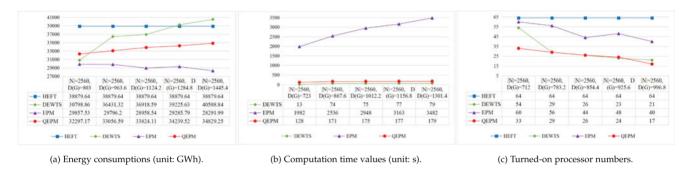


Fig. 13. Results of large-scale high-heterogeneity randomly generated parallel application with  $|N|=2{,}560$  for varying deadlines (time unit: h) (Experiment 6).

computation time is 50 h, communication to computation ratio (CCR) is 1, and shape parameter is 1. The heterogeneity degree (factor) values belong to the scope of (0,1] in the task graph generator, where 0 and 1 represent the lowest and highest heterogeneity factors, respectively [39]. Without loss of generality, we use large-scale randomly generated parallel application with 2,560 tasks, which are approximately equal to those of fast Fourier transform, Diamond graph, and Gaussian elimination applications in Experiments 2-4.

Experiment 5. This experiment compares the final energy consumption values of a large-scale low-heterogeneity (with the heterogeneity factor 0.1) randomly generated parallel application for varying deadline constraints. We limit the size of the application to  $|N|=2,\!560$  and change D(G) from  $LB(G)\times 1.0$  to  $LB(G)\times 1.8$  with  $LB(G)\times 0.2$  increments, where  $LB(G)=1,\!942$  is calculated using the HEFT algorithm. The results are shown in Fig. 12.

Experiment 6. This experiment compares the final energy consumption values of a large-scale high-heterogeneity (with the heterogeneity factor 0.9) randomly generated parallel application for varying deadline constraints. We still limit the size of the application to |N|=2,560 and change D(G) from  $LB(G)\times 1.0$  to  $LB(G)\times 1.8$  with  $LB(G)\times 0.2$  increments, where LB(G)=803 is calculated using the HEFT algorithm. The results are shown in Fig. 13.

The results of both low-heterogeneity application in Experiment 5 and high-heterogeneity application in Experiment 6 still show that EPM generates the minimum energy consumptions, followed by QEPM and DEWTS among them, whereas EPM is the most time-consuming among them. The main differences between the results of low-heterogeneity and high-heterogeneity applications are follows:

(1) Low-heterogeneity application has more than 10 times higher energy consumption than high-heterogeneity applications when using the proposed algorithms. In

- other words, the application with high heterogeneity may have higher potential to save energy.
- (2) The energy consumptions decrease with the increment of deadline for low-heterogeneity application (Figs. 12a), whereas the opposite law occurs for high-heterogeneity application using EPM and QEPM algorithms (Figs. 13a).
- (3) The energy consumptions increase with the increment of deadline for both low-heterogeneity and high-heterogeneity applications using DETWS (Figs. 12a and 13a). In addition, the energy consumptions generated by DETWS exceeds HEFT when D(G) exceeds  $LB(G) \times 1.2$  for low-heterogeneity application in Fig. 12a and  $LB(G) \times 1.8$  for high-heterogeneity application in Fig. 13a. That is, DETWS is not energy-aware for both low-heterogeneity and high-heterogeneity applications.
- (4) QEPM and EPM are both energy-efficient as shown in Figs. 12a and 13a. In addition, EPM has the most turned-on processors in this case shown in Fig. 13c. The results further indicate that turning off as many processors as possible does not necessarily lead to the minimum energy consumption.

#### 5.6 Summary of Experiments

The following observations and conclusions for deadline constrained parallel applications can be obtained based on the experimental results.

(1) Turning off the processors with a small number of tasks or processors with low energy utilization does not necessarily lead to the minimization of energy consumption. Both EPM and QEPM consider the energy consumption explicitly when choosing processors to turn off, which results in higher energy efficiency.

- (2) Turning off as many processors as possible does not necessarily lead to the minimization of total energy consumption of the application. The most effective processors to be turned off need to be determined by the tradeoff between DVFS and the number of active processors.
- (3) According to the analysis of the number of active processors, The low-parallelism Gaussian elimination and Diamond graph applications have lower turned-on processors than the high-parallelism fast Fourier Transform application. The lower the parallelism of the application is, the more the turned-off processors could be.
- (4) The proposed energy-aware processor merging algorithms, EPM and QEPM, can save more energy than the state-of-the-art DEWTS algorithm at all scales, parallelism, and heterogeneity degrees.
- (5) If the computation time is not the concern, the EPM can be utilized to minimize the energy consumption; otherwise, the QEPM is an alternative to reduce energy within reasonable computation time.
- (6) For application with high heterogeneity, the EPM is preferred to QEPM in terms of saving energy consumption.

#### 6 CONCLUSION

In this study, two energy-aware processor merging algorithms EPM and QEPM were proposed for real-time parallel applications in a heterogeneous cloud computing system. The EPM algorithm tried to minimize the energy consumption while satisfying the deadline constraint of the application by turning off the most effective processor from the energy saving perspective. To decrease the computation complexity of EPM, a QEPM algorithm was also introduced to deal with large-scale parallel applications in an acceptable amount of time. Our intensive experiments on several real parallel applications and randomly generated parallel applications validated that both EPM and QEPM can save more energy than the state-of-the-art DEWTS algorithm, and the QEPM algorithm can complete computation within reasonable time. Moreover, an energyaware processor merging guide for deadline constrained parallel applications was presented based on the experimental results. We believe that the proposed algorithms can effectively facilitate an energy-aware design for deadline constrained parallel applications in heterogeneous cloud computing systems.

### SUPPLEMENT MATERIAL

The web page http://esnl.hnu.edu.cn/index.php/tsusc/publishes the experimental codes of the paper.

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