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SDNFV-Based Dynamic Network Function Deployment: Model and Mechanism

Chao Bu, Xingwei Wang, Min Huang, and Keqin Li

Abstract—Oriented to the distinctive communication demands of diversified network applications, the current Internet should 2 be able to provide special packet processing operations beyond 3 simple packet forwarding. In this letter, we propose a dynamic 4 network function deployment model based on Software Defined 5 Networking and Network Function Virtualization to control and 6 deploy diverse network functions in corresponding switches, so as 7 to provide special-purpose communication features for different 8 applications. We devise a dynamic network function deployment mechanism, which pre-deploys appropriate functions before they 10 are massively requested according to the prediction, and real-11 timely deploys a few of new requested functions according to 12 the current network status. The simulation results show that the 13 proposed model is feasible and effective.

Index Terms—Software defined networking, network function
 virtualization, function deployment, prediction.

I. INTRODUCTION

THE current Internet is successful in supporting data 18 communications for network applications. However, the 19 demands of different applications are becoming distinctive 20 with the rapid development of the Internet technologies. 21 Assume that the network functions can be classified into Basic 22 Network Functions (BNFs) and Special Network Functions 23 (SNFs). The BNFs are necessary for basic packet forwarding 24 (e.g., standard IP routing functions [1]). The SNFs can provide 25 special-purpose communication features for applications, such 26 as security (e.g., firewall), reliability (e.g., error control) and 27 performance enhancement (e.g., traffic shaping). That is, the 28 BNFs play an essential role in forwarding packets while the 29 SNFs play a critical role in satisfying applications specialized 30 demands. Traditionally, the SNFs are usually performed by 31 the intermediary devices [2], which are special hardware based 32 and difficult as well as costly to be managed and deployed in a 33 flexible fashion. It is challenging to control and deploy SNFs 34 flexibly to accommodate the changing demands of different 35 applications. 36

The Software Defined Networking (SDN) [3] and Network Function Virtualization (NFV) [4] provide an inspiration to deal with the above challenge. SDN decouples network control logic, i.e., control plane, from data plane. NFV decouples network functions from the dedicated network equipment on

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which they run. An SNF can be dispatched as a plain software based instance, which enables the instantiated SNF to run on the commodity hardware. Thus, based on SDN and NFV (SDNFV), a Dynamic Network Function Deployment (DNFD) model is proposed.

Various SNFs have been developed and are in charge of 47 diverse packet processing operations to adapt to different 48 applications demands. However, it is impossible as well as 49 unnecessary to deploy all SNFs in one single forwarding 50 device (i.e., switch) because of its limited processing capacity. 51 And the method, by which all SNFs are deployed in switches 52 in real time just when they are requested, is unsustainable, 53 especially for the situation that lots of requests for multiple 54 SNFs arrives in a short time slot. It may lead to serious 55 service delay and congestion problem. In fact, some SNFs are 56 frequently used in a switch, while others are hardly used in the 57 switch due to different communication usage patterns which 58 are formed by the combinational influence of environment and 59 time factors. We devise an SNF deployment mechanism, it 60 can pre-deploy appropriate SNFs in switches by predicting the 61 SNFs future popularity according to long-term and short-term 62 prediction. Then, we present an SNF real-time deployment 63 scheme which serves as the supplement to the above pre-64 deployment one. By them, the SNFs which are very likely 65 to be frequently requested in the next time period can be pre-66 deployed in switches in advance, and the new requested SNFs 67 can be deployed instantly according to the current network 68 status. 69

In this letter, we propose a dynamic network function deployment model, the major contributions and innovations can be summarized as follows. Firstly, we devise the SNF deployment mechanism by cooperatively pre-deploying and real-time deploying SNFs, which overcomes the shortcomings (e.g., delay) caused by instantly deploying most of SNFs only when they are requested in, for example, [5] and [6]. Secondly, comparing with just doing prediction for the next time slot [7], the proposed pre-deployment scheme combines long-term and short-term prediction to pre-deploy appropriate SNFs before they are massively requested. Finally, in order to improve the network resource utilization, some constraints (e.g., the switch processing capacity, the link bandwidth) are considered when deploying SNFs.

II. THE PROPOSED DNFD MODEL

Based on the SDNFV, the system framework of the proposed DNFD is shown in Fig. 1.

The control plane is the decision making center for deploying appropriate SNFs in switches. The Network Function Pool (NFP) contains diverse SNFs which are modularly designed with the standardized interfaces. The Network Function Deployment (NFD) component is charge of making decisions and deploying appropriate SNFs in corresponding switches according to the switch records.

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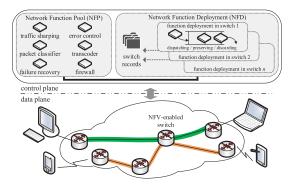


Fig. 1. The system framework of the proposed DNFD model.

The data plane is in charge of supporting com-94 munications for network applications. The switches are 95 standardized and NFV-enabled [4], which means that 96 the SNFs can be deployed and removed by the con-97 trol plane at runtime on the switches. The switches periodically feedback the records of the SNFs which are 99 requested by applications. 100

III. THE PROPOSED DNFD MECHANISM

A. The SNF Popularity 102

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The SNF popularity is defined as how frequently an SNF 103 is requested in a switch. In this letter, we devise a prediction 104 scheme combining long-term prediction and short-term pre-105 diction to predict the future Popularity of an SNF to a Switch 106 (PSS). 107

The long-term prediction is used to determine which SNFs 108 are necessary to a switch for quite a long time. A SNF with 109 high predicted PSS according to the long-term prediction will 110 be preserved in the switch in the next time interval. The long-111 term prediction is established based on several relatively long 112 time intervals (e.g., a week as a time interval) to avoid the 113 negative influence on such PSSs caused by some special time 114 intervals (e.g., weekends, official holidays). For example, the 115 security related SNFs are always requested in the switches 116 around financial institutions (e.g., bank) during working days, 117 such SNFs predicted PSSs should be based on the long-term 118 prediction rather than the short-term prediction (e.g., daily). 119

The short-term prediction is mainly oriented to the usually 120 changed SNF requests from applications which may be 121 popular for some relatively short time periods. Thus, the SNFs 122 requested by such applications should be deployed in the 123 corresponding switches in time rather than after the long-term 124 prediction. The short-term prediction is the extension to the 125 long-term prediction. 126

B. The Mechanism Modelling 127

1) Notations: Consider the underlying network is denoted 128 as G = (S, L), where S and L are the sets of switches 129 and links respectively. The switch S_l 's processing capacity for 130 SNFs is denoted as PCS_l , $S_l \in S$. The link L_e 's bandwidth 131 is denoted as $BL_e, L_e \in L$. 132

Let SNF be the set of SNFs. For $SNF_i \in SNF$, 133 its required processing capacity in a switch is denoted as 134 PC^{SNF_j} . The anti-affinity between SNF_i and SNF_i is 135

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denoted as $AAS_{ii} = 1$, which indicates SNF_i and SNF_i 136 cannot be implemented in a same switch, while $AAS_{ij} \neq 1$ 137 indicates the contrary.

Let App be the set of applications. An application 139 functions request is denoted as $\langle App_{id}, S_s^{App_{id}}, S_d^{App_{id}} \rangle$ 140 $SNF^{App_{id}}, BD^{App_{id}}$, which is assumed according to the 141 NFV-RR [8][9]. App_{id} is the applications identifier, $App_{id} \in$ 142 App; $SNF^{App_{id}}$ is the set of the SNFs requested by App_{id} 143 for its special demands, $SNF^{App_{id}} \subseteq SNF$; $BD^{App_{id}}$ is the bandwidth demand of App_{id} ; $S_s^{App_{id}}$ and $S_d^{App_{id}}$ are the source 144 145 switch and destination switch of Appid respectively. 146

Let P^{S_l, S_k} be the set of Z shortest paths between S_l and S_k , 147 $S_l, S_k \in S, Z \in N_+$. Each path $P_i^{S_l, S_k}$ is denoted as 148 $\langle S^{P_j^{S_l,S_k}}, L^{P_j^{S_l,S_k}} \rangle$, $S^{P_j^{S_l,S_k}}$ and $L^{P_j^{S_l,S_k}}$ are the sets of switches 149 and links on $P_i^{S_l,S_k}$ respectively, $S_j^{P_j^{S_l,S_k}} \subseteq S$, $L_j^{P_j^{S_l,S_k}}$ 150

2) The Long-Term Prediction: The long-term prediction 151 is mainly for the already deployed SNFs which have been 152 requested in a switch for several time intervals. Assume that 153 $RNS_{i}^{l,t}$ denotes the requested number of SNF_{i} in S_{l} in the 154 (t)th time interval. The actual popularity of SNF_i to S_l in 155 the (t)th time interval is defined as follows: 156

$$APSS_j^{l,t} = RNS_j^{l,t} / \sum_{SNF_j \in SNF} RNS_j^{l,t}.$$
(1) 15

The predicted popularity of SNF_i to S_l in the (t+1)th time 158 interval can be obtained according to the actual popularities of 159 SNF_i to S_l in the last t time intervals, $t \in N_+$. The prediction 160 model is defined as follows: 161

$$APSS_{j}^{l,t+1} = \sum_{b=1}^{l} \alpha \cdot PPSS_{j}^{l,b} + \beta.$$
 (2) 162

Here, $\alpha_1, \alpha_2, \ldots$, and α_t are the regression coefficients of 163 $APSS_{j}^{l,1}, APSS_{j}^{l,2}, ..., \text{ and } APSS_{j}^{l,t}, \beta \text{ is a constant. We set } A = [\alpha_1, \alpha_2, ..., \alpha_t, \beta] \text{ and its elements can be learned}$ 164 165 according to the historical popularities of SNF_i to S_l by the 166 typical least squares method defined as follows. 167

According to the historical records in the switch, the $APSS_{i}^{l,t}$ is the actual popularity of SNF_i to Sl in the (x)th time interval, we build a matrix M as follows:

$$M = \begin{bmatrix} APSS_{j}^{l,x} & APSS_{j}^{l,x+1} & \dots & APSS_{j}^{l,x+t} & 1\\ APSS_{j}^{l,x+1} & APSS_{j}^{l,x+2} & \dots & APSS_{j}^{l,x+t+1} & 1\\ \end{bmatrix}_{17}$$

$$\begin{bmatrix} \dots & \dots & \dots & \dots \\ APSS_j^{l,x+t} & APSS_j^{l,x+t+1} & \dots & APSS_j^{l,x+2t} & 1 \end{bmatrix}$$
$$= \begin{bmatrix} M & M & \dots & M \end{bmatrix}^T$$
(2)

$$= \begin{bmatrix} M_x & M_{x+1} & \dots & M_{x+t} \end{bmatrix}^T . \tag{3}$$

Here, in the (x + t + 1)th, (x + t + 2)th,..., and (x + t) th173 (2t+1)th time intervals, the actual popularities of SNF_i to S_l 174 are $Y = [APSS_j^{l,x+t+1}, APSS_j^{l,x+t+2}, \dots, APSS_j^{l,x+2t+1}]$, and the predicted popularities of SNF_j to S_l are 175 176 $[M_x \cdot A^T, M_{x+1} \cdot A^T, \dots, M_{x+t} \cdot A^T]$ according to (2). 177 We define E_A as follows: 178

$$E_A = (Y - M \cdot A^T)^T \cdot (Y - M \cdot A^T). \tag{4}$$

The A can be obtained when E_A achieves the minimum: 180

$$\frac{\partial E_A}{\partial A} = \frac{\partial (Y - M \cdot A^T)^T \cdot (Y - M \cdot A^T)}{\partial A} = 0.$$
(5) 181

In this approach, the predicted PSS of an SNF to a switch in the next time interval can be obtained. We assume TPSS is the threshold to judge the necessity of an SNF to a switch in the next time interval. The set of SNFs which should be in S_l in the (t + 1)th time interval is defined as $SNF^{l,t+1}$, and each $SNF_j \in SNF^{l,t+1}$ must satisfy $PPSS_j^{l,t+1} \ge TPSS$.

3) The Short-Term Prediction: In the short-term prediction, we use the daily requested number growth rate of an SNF in a switch to guide deployment of the SNFs which are predicted to be popular in the next day. Such SNFs are deployed in the corresponding switches before the next day. We suppose that the next day of the short-term prediction is one day in the (t + 1)th time interval of the long-term prediction.

For SNF_i ($SNF_i \in SNF$, $SNF_i \notin SNF^{l,t+1}$), its total requested number in S_l in the last *m* days is defined as $TRNS_i^{l,m}$. The SNF_i 's daily incremental requested number in S_l is denoted as follows:

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$$DIRNS_i^{l,h} = \begin{cases} TRNS_i^{l,1}, & h = 1\\ TRNS_i^{l,h} - TRNS_i^{l,h-1}, & 1 < h \le m. \end{cases}$$
 (6)

The requested number growth rate of SNF_i in S_l in the (*h*)*th* day is denoted as follows:

$$GRS_i^{l,h} = \frac{DIRNS_i^{l,h}}{TRNS_i^{l,m}}, 1 \le h \le m.$$
(7)

In the short-term prediction, SNF_i is supposed to be increasingly popular in the (m + 1)th day as follows:

$$DIRNS_{i}^{l,m} \ge T \tag{8}$$

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$$GRS_i^{l,h} \ge GRS_i^{l,h-1}, \quad 1 \le h \le m.$$
(9)

Here, $T \in N_+$ is the popularity threshold. We set its predicted popularity label in the (m + 1)th day be $PPL_i^{l,m+1} = 1$ for SNF_i which satisfies (8) and (9).

We consider pre-deploying SNFs as many as possible, which are likely to be massively requested (i.e., popular) in each switch before the next day. We define $SNF^{l,t+1}(m+1)$ as the set of SNFs which should be deployed in S_l at the beginning of the (m + 1)th day, and $NSNF^{l,t+1}(m + 1)$ as the number of its elements. By the followings, we can obtain $SNF^{l,t+1}(m + 1)$.

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$$maximize \frac{SNF^{l,t+1}(m+1)}{\sum_{SNF_i \in SNF^{l,t+1}(m+1)} PC^{SNF_i}}$$
 (10)

218 s.t.
$$\forall SNF_i \in SNF^{l,t+1}(m+1) : PPL_i^{l,m+1} = 1$$
 (11)

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$$\forall SNF_i \in SNF^{l,t+1}(m+1), \quad \forall SNF_j \in SNF^{l,t+1}$$

$$\forall SNF_i, \forall SNF_j \in SNF^{l,l+1}(m+1),$$

$$222 \qquad SNF_i \neq SNF_j : AAS_{i,j} \neq 1$$

$$\sum_{SNF_i \in SNF^{l,t+1}(m+1)} PC^{SNF_i} + \sum_{SNF_j \in SNF^{l,t+1}} PC^{SNF_j}$$

(13)

$$\leq PCS_l. \tag{14}$$

Thus, at the beginning of the (m + 1)th day, the involved 225 SNFs can be dispatched/preserved/discarded to/in/from S_l 226 according to $SNF^{l,t+1} \cup SNF^{l,t+1}(m + 1)$, respectively. 227

4) SNF Real-Time Deployment: In the real time, assume 228 that the set of the currently deployed SNFs in S_l is denoted 229 as $RSNF^{l}$, the currently occupied processing capacity of 230 S_l is denoted as $RPCS_l$, and the currently occupied band-231 width of L_e is denoted as RBL_e . For an application request 232 $\langle App_i, S_s^{App_i}, S_d^{App_i}, SNF^{App_i}, BD^{App_i} \rangle$, if one of the appli-233 cation App_i 's feasible communication paths can satisfy the 234 condition (15): 235

$$\exists P_j^{S_s^{App_i}, S_d^{App_i}} \in P^{S_s^{App_i}, S_d^{App_i}}, \quad \forall L_e \in L^{P_j^{S_s^{App_i}, S_d^{App_i}}}, \quad 236$$

$$\exists S_l \in S^{P_j^{S_a^{App_i}}, S_d^{App_i}} : BD^{App_i} \le BL_e - RBL_e, \qquad 237$$

$$SNF^{App_i} \subseteq RSNF^l,$$
 (15) 238

(16)

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it can be directly accepted; otherwise, if one of its 239 feasible communication paths can satisfy the following 240 condition (16): 241

$$\exists P_j^{S_s^{App_i}, S_d^{App_i}} \in P^{S_s^{App_i}, S_d^{App_i}}, \quad \forall L_e \in L^{P_j^{S_s^{App_i}, S_d^{App_i}}}, \quad 242$$

$$\exists S_l \in S^{P_j^{\circ s}} , \forall SNF_a \in SNF^{App_i}, \forall SNF_b \in RSNF^l$$

$$\exists BD^{App_i} \leq BL_a - RBL_a, AAS_{ab} \neq 1.$$

$$\sum_{l \in \mathcal{L}(S,V,F,A,P,P) \in \mathcal{D}(S,V,F)} PC^{SNF_k} \leq PCS_l - RPCS_l, \quad 245$$

 $SNF_k \in (SNF^{App_i} - SNF^{App_i} \cap RSNF^l)$

the SNFs in $SNF^{App_i} - SNF^{App_i} \cap RSNF^l$ should be deployed instantly in S_l to support App_i until the application finishes. If there are multiple paths satisfying the condition (15) or (16), the path with the least average bandwidth utilization is selected.

In general, a SNF deployed in real time can become a shortterm popular SNF or even a long-term popular SNF in a switch if it satisfies the corresponding conditions according to the short-term prediction or the long-term prediction. 252

IV. PERFORMANCE EVALUATION

In the simulation, we use floodlight as the controller and 257 click modular router as the switch. A variety of SNFs are 258 simulated by ClickOS, which is based on click modular router 259 and can serve as the platform for NFV provisioning. It can 260 create small Virtual Machines (VMs, 6MB), each of which 261 is able to host an SNF. We run each SNF on each ClickOS 262 VM. Considering the network application being a kind of 263 software product, its popularity pattern follows the product 264 life cycle according to the Shifted Gompertz distribution [10]. 265 In this simulation, we classify the application requests on 266 SNFs into 5 types according to 5 usage patterns, each usage 267 pattern is assumed to be mainly oriented to one communi-268 cation feature, such as security recoverability, stability, high 269 interactivity. For example, Firewall, IPsec, IDS and DPI are 270 security related SNFs. We assume that a switch is mainly 271 oriented to a usage pattern according to its working place. 272 For example, the switches around banks are mainly oriented 273

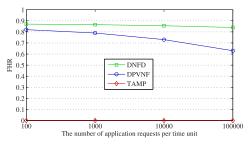


Fig. 2. Function Hit Rate.

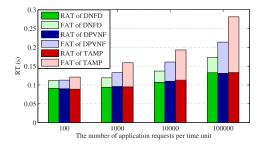


Fig. 3. Response Time Ratio.

to security feature. Each request is assumed to require 1, 274 2 or 3 related SNFs. For a switch, the application requests 275 are created according to the Shifted Gompertz distribution, 276 the lifetimes of the requests of the switchs usage pattern 277 are set as 200 time units (i.e., long-term popular applica-278 tions), the lifetimes of the requests of another two selected 279 usage patterns are set as 10 time units (i.e., short-term 280 popular applications), and the lifetimes of the requests of 281 the remaining two usage patterns are set as 1 time units 282 (i.e., occasional applications). We set 7 time units as a time 283 interval for the long-term prediction and 1 time unit for 284 the short-term prediction. The INTERNET2 topology [11] is 285 used for doing simulation, the above 5 usage patterns are 286 randomly and evenly distributed among its nodes. We com-287 pare DNFD with Dynamic Placement of Virtual Network 288 Functions (DPVNF) [7] and Traffic-Aware Middlebox Place-289 ment (TAMP) [5]. We use the following performance metrics: 290 Function Hit Rate (FHR), Response Time (RT) and Access 291 Success Ratio (ASR). 292

The FHR is the probability of the requested SNFs having 293 already been deployed. The results are shown in Fig. 2. The 294 DNFD has the highest FHR, followed by DPVNF and TAMP. 295 DNFD and DPVNF can pre-deploy SNFs by prediction, while 296 TAMP just deploys SNFs in real time. DNFD has higher 297 FHR than DPVNF, because DPVNF pre-deploys SNFs mainly 298 based on traffic variation, while DNFD pre-deploys SNFs 299 according to the SNF future popularity which are oriented to 300 SNF demands and thus can effectively improve the FHR. 301

The RT is the time interval from the application request 302 being received to it being successfully accepted. It con-303 tains Request Analysis Time (RAT) and Function Allocation 304 Time (FAT). The results are shown in Fig. 3. DNFD has the 305 lowest RT because its FAT is much shorter than the FAT 306 of DPVNF and TAMP. DNFD and DPVNF can pre-deploy 307 appropriate SNFs by prediction which reduces the FAT, while 308 TAMP just deploys SNFs in real time and then takes much of 309 the RT. DNFD has lower RT than DPVNF, because DNFD can 310 pre-deploy most of the appropriate SNFs by combination of 311

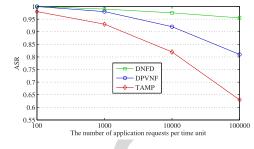


Fig. 4. Access Success Ratio.

long-term prediction and short-term prediction, while DPVNF just does prediction for the next time slot. 313

The ASR is the ratio of the number of the successfully 314 accepted application requests to the total number of the 315 received application requests. The results are shown in Fig. 4. 316 DNFD has the highest ASR, followed by DPVNF and TAMP. 317 TAMP just deals with requests and deploys SNFs one by one 318 in real time. When the number of requests increases rapidly, 319 it causes severe service delay by deploying too many SNFs 320 instantly. The ASR of DNFD is higher than that of DPVNF, 321 DNFD not only can pre-deploy more appropriate SNFs in the corresponding switches than DPVNF, but also can real-timely deploys SNFs according to the current network status, which effectively improves the ASR.

V. CONCLUSIONS

In this letter, based on SDN and NFV, a dynamic routing function deployment model is proposed to control and deploy diverse SNFs for the specialized communication demands of different applications. Then, an SNF deployment mechanism is devised by long-term prediction and short-term prediction with real-time deployment supplemented, so as to achieve the appropriate SNF deployment in the corresponding switches.

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